

1 CLAIMS

2 1. A facial expression transformation method comprising:
3 defining a code book containing data defining a first set of facial
4 expressions of a first person;
5 providing data defining a second set of facial expressions, the second set of
6 facial expressions providing a training set of expressions of a second person who
7 is different from the first person;
8 deriving a transformation function from the training set of expressions and
9 corresponding expressions from the first set of expressions; and
10 applying the transformation function to the first set of expressions to
11 provide a synthetic set of expressions.

12
13 2. The method of claim 1, wherein the training set of expressions
14 contains fewer expressions than the code book.

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16 3. The method of claim 1, wherein the transformation function
17 compensates for differences in the size and shape of the faces of the first and
18 second persons.

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20 4. The method of claim 1, wherein said deriving of the transformation
21 function comprises computing a linear transformation from one set of expressions
22 to another.

1 5. The method of claim 1, wherein the deriving of the transformation
2 function comprises:

3 representing each expression as a $3m$ -vector that contains x , y , z
4 displacements at m standard sample positions; and

5 computing a set of linear predictors a_j , one for each coordinate of g_a , given
6 a set of n expression vectors for a face to be transformed, $g_{a1...n}$, and a
7 corresponding set of vectors for a target face, $g_{b1...n}$, by solving $3m$ linear least
8 squares systems of the following form:

$$a_j \cdot g_{ai} = g_{bi}[j], i = 1...n$$

11 6. The method of claim 5, wherein said computing comprises using only
12 a subset of points for each g_{aj} .

14 7. The method of claim 6, wherein said using comprises using only
15 points that share edges with a standard sample point under consideration.

17 8. The method of claim 5 further comprising controlling the spread of
18 singular values when computing a pseudoinverse to solve for the a_j .

20 9. The method of claim 8, wherein said controlling the spread comprises
21 zeroing out all singular values less than $\alpha\sigma_1$, where σ_1 is the largest singular value
22 of the matrix.

1 10. The method of claim 1, wherein said providing data defining a
2 second set of facial expressions comprises:

3 illuminating the second person's face with illumination; and
4 contemporaneously capturing structure data describing the face's structure
5 and reflectance data describing reflectance properties of the face from the
6 illumination.

7
8 11. The method of claim 10, wherein said illuminating comprises:
9 using multiple light sources, one of which projecting a pattern on the
10 second person's face from which the structure data can be ascertained;
11 at least one of the light sources comprising an infrared light source;
12 at least one of the light sources being polarized; and
13 said capturing comprising using a camera having a polarizer that suppresses
14 specularly-reflected light so that diffuse component reflection data is captured.

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16 12. The method of claim 1, wherein said providing data defining a
17 second set of facial expressions comprises:

18 illuminating the second person's face with a first polarized light source that
19 is selected so that specularly-suppressed reflective properties of the face can be
20 ascertained;

21 illuminating the second person's face with a second structured light source
22 that projects a pattern onto the face, while simultaneously illuminating the face
23 with the first polarized light source; and

24 capturing both specularly-suppressed reflection data and structure data from
25 the simultaneous illumination.

1 18. The method of claim 16, wherein all of the light sources are
2 polarized.

3
4 19. One or more computer-readable media having computer-readable
5 instructions thereon which, when executed by a computer, cause the computer to:

6 operate on a training set of expressions from one person and corresponding
7 expressions from a code book of another person to compute a linear
8 transformation function from the training set and their corresponding expressions;
9 and

10 apply the transformation function to a plurality of expressions from the
11 code book to provide a synthetic set of expressions.

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13 20. The computer-readable media of claim 19, wherein the instructions
14 cause the computer to use the synthetic set of expressions to transform expressions
15 from the one person into expressions of the other person.

16
17 21. The computer-readable media of claim 20, wherein the instructions
18 cause the computer to transform expressions from the one person that are different
19 from those expressions comprising the code book expressions.

20
21 22. The computer-readable media of claim 20, wherein the instructions
22 cause the computer to transform expressions by transmitting at least one index of a
23 synthetic expression to a receiver that can reconstruct the expression.
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1 23. The computer-readable media of claim 20, wherein the instructions
2 cause the computer to transform facial expressions.

3
4 24. A facial expression transformation system comprising:
5 a code book embodied on a computer-readable medium, the code book
6 containing data defining a first set of facial expressions of a first person;
7 data embodied on a computer-readable medium, the data defining a second
8 set of facial expressions, the second set of facial expressions providing a training
9 set of expressions of a second person who is different from the first person; and
10 a transformation processor configured to derive a transformation function
11 from the training set of expressions and corresponding expressions from the first
12 set of expressions.

13
14 25. The expression transformation system of claim 24, wherein the
15 transformation processor comprises a linear transformation processor.

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17 26. The expression transformation system of claim 24 further
18 comprising a synthetic set of expressions embodied on a computer-readable
19 medium, the synthetic set of expressions being derived by applying the
20 transformation function to the code book expressions.

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22 27. The expression transformation system of claim 24, wherein the
23 transformation function compensates for differences in the size and shape of the
24 faces of the first and second persons.
25

1 a first code book of synthetic expressions that have been synthesized
2 by:
3 receiving a training set of expressions provided by the
4 subject;
5 computing a transformation function using the training set of
6 expressions and corresponding unsynthesized code book
7 expressions; and
8 applying the transformation function to all of the expressions
9 in the code book; and
10 a receiver communicatively linked with the transmitter and comprising:
11 a reconstruction module for reconstructing facial images; and
12 a second code book containing the same synthetic expressions as the
13 first code book; and
14 the transmitter being configured to:
15 capture additional expressions of the subject;
16 search the first code book for a corresponding or near
17 matching expression; and
18 transmit an index of a corresponding or matching code book
19 expression to the receiver for facial image reconstruction by the
20 reconstruction module.

21
22 **30.** The expression transformation system of claim 29, wherein the
23 illumination system comprises at least one polarized light source.
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1 31. The expression transformation system of claim 29, wherein the
2 illumination system comprises multiple polarized light sources.

3
4 32. The expression transformation system of claim 29, wherein the
5 illumination system comprises a patterned light source configured to project a
6 pattern onto the subject's face.

7
8 33. The expression transformation system of claim 29, wherein the
9 illumination system comprises an infrared patterned light source configured to
10 project a pattern onto the subject's face.

11
12 34. The expression transformation system of claim 29, wherein the
13 different light sources are all infrared light sources.

14
15 35. A method of animating facial features comprising:
16 defining a subdivision surface that approximates geometry of a plurality of
17 different faces; and
18 fitting the same subdivision surface to each of the plurality of faces.

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20 36. The method of claim 35, wherein said defining comprises defining
21 the subdivision surface with a coarse mesh structure.

22
23 37. The method of claim 36, wherein the coarse mesh structure
24 comprises a triangular mesh.
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1 **38.** The method of claim 35, wherein said fitting comprises performing
2 a continuous optimization operation over vertex positions of the subdivision
3 surface.

4
5 **39.** The method of claim 35, wherein said fitting comprises fitting the
6 subdivision surface to the faces without altering the connectivity of a mesh that
7 defines the subdivision surface.

8
9 **40.** The method of claim 35, wherein said fitting comprises minimizing
10 a smoothing functional associated with a mesh that defines the subdivision
11 surface.

12
13 **41.** The method of claim 35, wherein said fitting comprises selecting
14 one or more constraints associated with a mesh that defines the subdivision surface
15 and fitting those constraints directly to corresponding points on the faces.

16
17 **42.** The method of claim 41, wherein the constraints are associated with
18 one of the eyes, nose and mouth.

19
20 **43.** The method of claim 35, wherein said fitting comprises minimizing
21 a functional that includes terms for distance, smoothness, and constraints.

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23 **44.** The method of claim 35, wherein said fitting comprises solving a
24 sequence of linear least-squares problems.
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1 45. One or more computer-readable media having computer-readable
2 instructions thereon which, when executed by one or more computers, cause the
3 one or more computers to implement the method of claim 35.

4
5 46. A method of animating facial features comprising:
6 defining a subdivision surface that approximates geometry of a plurality of
7 different faces;

8 fitting the same subdivision surface to each of the plurality of faces to
9 establish a correspondence between the faces; and

10 using the correspondence between the faces to transform an expression of
11 one face into an expression of another face.

12
13 47. A method of animating facial features comprising:
14 measuring 3-dimensional data for a plurality of different faces to provide
15 corresponding face models;

16 defining only one generic face model that is to be used to map to each
17 corresponding face model;

18 selecting a plurality of points on the generic face model that are to be
19 mapped directly to corresponding points on each of the corresponding face
20 models; and

21 fitting the generic face model to each of the corresponding face models,
22 said fitting comprising mapping each of the selected points directly to the
23 corresponding points on each of the corresponding face models.
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